

OIPF JCI09
APR 10 2003
U.S. PATENT & TRADEMARK OFFICE

#36/24462.7 1635

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Applicant Number	09/315,298	RECEIVED APR 11 2003 TECH CENTER 1600/2900
	Filing Date	May 20, 1999	
	First Named Inventor	Ching-Leou Teng	
	Group Art Unit	1635	
	Examiner Name	Janet Epps	
Total Number of Pages in This Submission	7	Attorney Docket Number	ISIS-3510/ISIC0001-102

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate Under 37 CFR 1.97(e); Copy of Supp. Search Report
Remarks 		References were cited in an International Search Report in a related case file for European Patent Application 99924462.7

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Christine A. Goddard/Reg. No. 46,731
Signature	<i>Christine A. Goddard</i>
Date	April 7, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 7, 2003			
Typed or printed name	Christine A. Goddard		
Signature	<i>Christine A. Goddard</i>	Date	April 7, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



ISIS-3510/ISIC0001-102

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APR 11 2003

TECH CENTER 1600/2900

Applicant: Cheng-Liou Teng, et al : Art Unit:1635
Serial No.: 09/315,298 : Examiner: J. Epps
Filed: May 20, 1999 :
For: COMPOSITIONS AND :
METHODS FOR PARENTERAL
DELIVERY OF
OLIGONUCLEOTIDES

CERTIFICATION IN ACCORDANCE WITH 37 C.F.R. §1.97(e)

DATE OF DEPOSIT: April 7, 2003
I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID
ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO THE ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231

Christine A. Goddard
TYPED NAME: Christine A. Goddard
REGISTRATION NO: 46,731

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

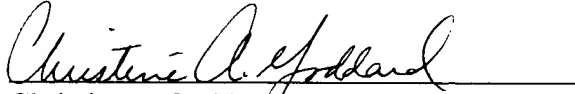
Pursuant to 37 C.F.R. §§ 1.97(e), Applicants assert:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in §1.56(c) not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

A handwritten signature in cursive script, reading "Christine A. Goddard", is written over a horizontal line.

Christine A Goddard
Registration No.: 46,731
Attorney for Applicant

Enclosures:
PTO/SB/08A

Dated: April 7, 2003

Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103
Tel: 215-665-2000



ISIS-3510/ISIC0001-102

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cheng-Liou Teng, et al : Art Unit: 1635
Serial No.: 09/315,298 : Examiner: J. Epps
Filed: May 20, 1999 :
For: COMPOSITIONS AND :
METHODS FOR NON-
PARENTERAL DELIVERY OF
OLIGONUCLEOTIDES

#36/I.D.S.
RECEIVED

APR 11 2003

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

DATE OF DEPOSIT: April 7, 2003
I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID
ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO THE ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231

Christine A. Goddard
TYPED NAME: Christine A. Goddard
REGISTRATION NO: 46,871

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

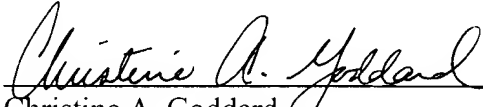
Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08A submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has been received, and it is presumed that none has yet been mailed. Accordingly, please charge the required fee set forth in 37 CFR §1.17(p) to Deposit Account No. 50-1275

Respectfully submitted,


Christine A. Goddard
Attorneys for Applicant

Enclosures:
PTO/SB/08A

Dated: April 7, 2003

Cozen O'Connor
1900 Market Street
Philadelphia, PA 19103
Tel: 215-665-2000